



OFFICE OF FINANCE
REFUND DIVISION

DEP/REF
Room #307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re the Appln of:

HOLM et al

US PATENT & TRADEMARK
OFFICE

#161 Reg for
Refund
10-09-01

Serial No.: 09/408,578

Group Art Unit: 1627

Filed: September 29, 1999

Examiner: WESSENDORF, T.

For: METHOD FOR PREPARING A LIGAND PRESENTING
ASSEMBLY (LPA), AN LPA, AND USES THEREOF

REQUEST FOR REFUND

Honorable Assistant Commissioner
of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

In the above identified case, Deposit Account 06-1358 has been improperly debited. By this paper, a credit to deposit account 06-1358 is requested. An explanation of the debiting error is set out below, and copies of all documents in support of this request are attached.

Explanation of Error:

- ☐ Large Entity fee charged to Small Entity
- ☐ Fee should not have been charged to PTO account because it was paid by check
- ☒ PTO miscalculation
- ☐ Incorrect charge for Information Disclosure Statement

Type of Charge and Amount of Refund Requested:

<input type="checkbox"/> Filing fee	\$ _____
<input checked="" type="checkbox"/> Extra claims	54.00
<input type="checkbox"/> Oath or Declaration surcharge (late fee)	_____
<input type="checkbox"/> Other (see comments)	_____

TOTAL REFUND REQUESTED \$ 54.00
=====

Comments:

This application was initially filed with the U.S. Patent and Trademark Office (PTO) on September 29, 1999. The application was filed under 37 C.F.R. §1.53 (without Declaration of Filing Fee). The required Declaration and Filing Fee were subsequently filed on December 9, 1999, in response to a Notice to File Missing Parts, mailed October 21, 1999, which had a response date of December 21, 1999. Copies of the Notice to File Missing Parts, the Response to File Missing Parts, our Firm's check stub for Check No. P.O. 045300 for the amount of \$1,326 to cover the basic filing fee of \$670, the surcharge fee of \$130 for late filing the Declaration and Power of Attorney, and \$396 for 22 additional claims over 20, as well as the \$40 fee for recording the Assignment document which was submitted at that time, are attached.

Also attached is a copy of the postcard, date stamped by the PTO "DEC 09 1999", and a copy of the Deposit Account Statement reflecting the incorrect charge to our account dated 5-31-01.

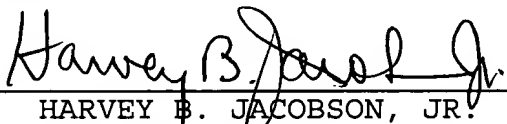
Therefore, it is respectfully requested that Deposit Account 06-1358 be credited in the amount of \$54.

Attached documents:

- X Deposit account statement (highlighted)
- X Copy of Response to Notice to File Missing Parts
- X Copy of the Notice to File Missing Parts
- X Copy of the postcard, showing the PTO mail room stamp
- X Copy of check stub for Check No. P.O. 045300 in the amount of \$1326.00

Respectfully submitted,

JACOBSON HOLMAN, PLLC

By: 
HARVEY B. JACOBSON, JR.
Reg. No. 20,851

400 Seventh Street, N.W.
Washington, D.C. 20004-2201
202/638-6666
Atty. Dkt. No.: P63882US0
HBJ/lhs
Dated: September 17, 2001



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

RECEIVED
JUN 28 2001
JACOBSON HOLMAN PLLC

Account No.	061358
Date	5-31-01
Page	1

JACOBSON PRICE HOLMAN & STERN
BRUCE LETCHWORTH
400 SEVENTH STREET, N. W.

FINA

WASHINGTON DC 20004

PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
5	1	01	3	09168343	11349-P63081	215 US	55.00	10161.24
5	1	01	3	09750186	45H P66247 US	704	-190.00	10351.24
5	1	01	4	08424600	DDP P57032A	103 00061	-18.00	10369.24
5	2	01	1	09147788	WEP P63398US	115	110.00	10259.24
5	2	01	2	09147788	P63398US	103	108.00	10151.24
5	2	01	3	09147788	P63398US	103	18.00	10133.24
5	2	01	4	09147788	P63398US	102	400.00	9733.24
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5	4	01	193	5265445	AAH P65622US	704	-975.00	10696.24
5	7	01	32	09738140	JCH P66149 US	581	40.00	10656.24
5	7	01	122	408156	JCH	465	120.00	10536.24
5	8	01	3	08929069	JCH P358948 US	704	-645.00	11181.24
5	8	01	8	09821950	JCH P66547US	101	710.00	10471.24
5	8	01	9	09821950	P66547US	102	720.00	9751.24
5	8	01	10	09821950	P66547US	103	306.00	9445.24
5	8	01	551	09582107	WEP P65679US	122	130.00	9315.24
5	9	01	17	408704	JCH	567	60.00	9255.24
5	10	01	8	75810903	JCH T30858US	364	150.00	9105.24
5	10	01	9	75980796	JCH T30858US	361	325.00	8780.24
5	10	01	106	09737557	JCH P66147 US	101	-710.00	9490.24
5	10	01	107	09737557	JCH	103	-108.00	9598.24
5	11	01	1	09403760	WEP P63989US	117	890.00	8708.24
5	11	01	1	09493233	45H P64429US	126	-240.00	8948.24
5	11	01	1	09076166	JCH P62038US	126	180.00	8768.24
5	11	01	2	09497135	45H 11349-P64446	126 US	-240.00	9008.24
5	11	01	3	09497136	45H 11349-P64452	126 US	-240.00	9248.24
5	14	01	3	75979630	MS T30034US	704	-100.00	9348.24
5	14	01	18	4974257	ASM P64247 US	581	-560.00	9908.24
5	14	01	56	410757	JCH	464	15.00	9893.24
5	15	01	2	09496630	45H P64447US	126	-240.00	10133.24
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5	15	01	32	411834	JCH	567	60.00	10863.24

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT.

25

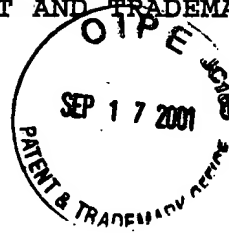
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Arne HOLM et al.

Serial No.: 09/408,578

Filed: September 29, 1999

For: METHOD FOR PREPARING A LIGAND PRESENTING ASSEMBLY
(LPA), AN LPA AND USES THEREOF



RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION UNDER 37 C.F.R. §1.53

Box Missing Parts
Assistant Commissioner of Patents
Washington, D.C. 20231

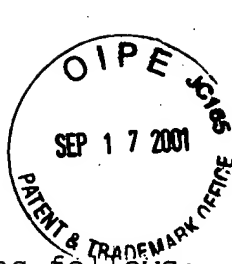
Attention: APPLICATION BRANCH
MISSING PARTS OF APPLICATION

Sir:

With respect to the above-identified patent application, the following are filed herewith in response to the Notice To File Missing Parts of Application under 37 C.F.R. §1.53, mailed October 21, 1999, copy attached.

- ☒ Declaration in compliance with 37 C.F.R. §1.63.
- ☐ Declaration in compliance with 37 C.F.R. §1.63, attached to copy of application as originally filed.
- ☐ Small Entity Declaration under 37 C.F.R. §§1.9 and 1.27.
- ☐ Certified copy of -- Application No. --, filed --, the priority of which is claimed under 35 U.S.C. §119.
- ☒ Assignment Document and Cover Sheet, with \$40.00 fee for recordation of Assignment.
- ☒ If a Petition for Extension of time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge and fees necessary under 37 CFR 1.17 (a) - (d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358.

Appln. No. 09/408,578



X Filing Fee, calculated as follows:

	<u>Small Entity</u>	<u>Large Entity</u>	
Basic Filing Fee	\$380.00	\$760.00	760.00
Total Claims <u>42</u> - 20 = <u>22</u>	(x \$9) =	(x \$18) =	396.00
Indep. Claims <u>1</u> - 3 = <u>0</u>	(x \$39) =	(x \$78) =	.00
<u>X</u> Surcharge: Late Filing	+ \$65	+ \$130	130.00
<u> </u> Extension Fee under 37 CFR \$1.17			.00
			<u> </u>
			TOTAL FILING FEES
			\$1286.00

Check No. 45300, in the amount of \$1326.00, is enclosed to cover the filing fee, late filing surcharge and fee for recordation of Assignment. The Commissioner is hereby authorized to debit or credit any fees set forth in §1.16 or §1.17 to Deposit Account No. 06-1358 as needed in order to effect proper filing of the application. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By _____
D. Douglas Price
Reg. No. 24,514

400 Seventh Street, N.W.
Washington, D.C. 20004-2201
(202) 638-6666

Atty. Docket No.: P63882US0
Date: December 9, 1999
DDP/cmf

10-25



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/408,578	09/29/99	HOLM	A P63882US0

0242/1021

JACOBSON PRICE HOLMAN & STERN
D DOUGLAS PRICE
400 SEVENTH STREET NW
WASHINGTON DC 20004-2201

NOT ASSIGNED

1646

DATE MAILED:

10/21/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1286.00.

- ☒ 1. The statutory basic filing fee is:
- ☒ missing.
 - ☐ insufficient.
- Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. The following additional claims fees are due:
- \$ 396.00 for 22 total claims over 20.
 - \$ _____ for _____ independent claims over 3.
 - \$ _____ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unsigned.
 - ☐ does not cover the newly submitted items.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
 - ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
 - ☐ 8. The application was filed in a language other than English.
- Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

OCT 52 2000

D. Bell

Customer Service Center
Initial Patent Examination-Division (703) 308-1202

JACOBSON, PRICE, HOLMAN & STERN, PLLC.

Response Due On Or Before

12 / 21 / 99
Month Day Year



**MISSING PARTS OF APPLICATION
APPLICATION BRANCH**

Att'y Docket: P63882US0
Serial No.: 09/408,578
Applicant: HOLM et al.
Filing Date: September 29, 1999

Today's Date: December 9, 1999

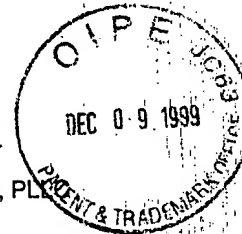
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☒ Response to Notice to File Missing Parts of Application
- ☒ Combined Declaration, Power of Attorney
- ☒ Assignment and Cover Letter

☒ Check for \$1326.00 Ck. No. 45300

DUE DATE: December 21, 1999

JACOBSON, PRICE, HOLMAN & STERN, PLLC
400 SEVENTH STREET, NW
WASHINGTON, DC 20004



cmf

JACOBSON, PRICE, HOLMAN & STERN, PLLC • 400 SEVENTH STREET, N.W. • WASHINGTON, D.C. 20004-2201

DATE	IN PAYMENT FOR		AMOUNT
12-09-99	FILING FEE <u>1286.00</u>	ASSIGNMENT FEE <u>40.00</u>	1326.00
	ISSUE FEE _____	APPEAL FEE _____	
	AMENDMENT _____	MISCELLANEOUS _____	
	APPLICANT <u>HOFMAN-BANG & BOUTARD, LEHMANN</u>		
	SER. No. <u>09/408,578</u>	MARK _____	
	OUR FILE No. <u>00162</u>	WRITER <u>CMF</u>	
	CHARGE TO <u>P63882US0</u>		

P.O. 45300



UNITED STATES
PATENT AND
TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

Mailed : November 26, 2001 WWW.USPTO.GOV

In re Applicant: Arne Holm

Serial No. 09/408,578

Filing Date: 09/29/99

For: Method for Preparing a Ligand Presenting Assembly
(LPA), An LPA, And Uses Thereof

: Refund Letter

:

:


Docket No. P63882USO

This is in response to your letter submitted September 24, 2001 requesting a refund of \$54.00 on the above-identified application.

A through inspection of U.S. Patent and Trademark Office physical records and Revenue Accounting Management financial records, it was determined that no refund is due.

A further review of the application records, it was determined that the fee of \$54.00, charged to deposit account 06-1358 was required for 3 additional claims in excess of 42, added to the application per amendment filed April 30, 2001.

Any inquiry regarding this letter should be address to Margaret W. Stevens on 703-305-3608 or Fax number 703-308-7922.


Margaret W. Stevens
Supervisory Legal Instrument Examiner
Technology Center 1600/2900

Jacobson Holman, PLLC
400 seventh Street, N.W.
Washington, D.C. 20004-2201